



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takahisa Nitta et al.

Serial No. 09/436,637

Filed: November 9, 1999

For: CHEMICAL SUPPLY SYSTEM

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: Examiner: To be assigned
:
: Art Unit: To be assigned
:
: Atty Docket: 1776/044
:
:

SUBMISSION OF SMALL ENTITY DECLARATION

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicants hereby submit a VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §1.9(f) and §1.27(c)) - SMALL BUSINESS CONCERN. Applicants are now entitled to a one-half reduction of the fees for the prosecution of this application.

The Examiner's consideration is appreciated.

Respectfully submitted,

Elzbieta Chlopecka

Elzbieta Chlopecka, Reg. No. 32,767
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Date: 02-14-2000 (Monday)

Applicant/Patentee: _____
 Serial/Patent No.: 08/_____ Atty. Dkt No. _____
 Filed on/Issued on: _____
 For: _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR § 1.9(f) AND § 1.27(b)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☒ The owner of the small business concern identified below:
☐ An official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ULTRACLEAN TECHNOLOGY RESEARCH INSTITUTE, CO., LTD.
 ADDRESS OF CONCERN 1-4, Hongo 4-chome, Bunkyo-ku, Tokyo 113-0033 JAPAN

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.8-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under 35 USC § 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled CHEMICAL SUPPLY SYSTEM by inventor(s) Takahisa NITTA, Nobuhiro MIKI, Yoshiaki YAMAGUCHI described in:

- ☐ The specification filed herewith
☒ U.S. Application Serial No. 09/436,637 filed November 9, 1999
☐ U.S. Patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR § 1.9(d) or by any concern which

would not qualify as a small business concern under 37 CFR § 1.9(d) or a non-profit organization under 37 CFR § 1.9(e).

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business

☐ Non-Profit

*NOTE: Separate Verified Statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the Issue Fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

NAME OF PERSON SIGNING Takahisa NITTA

TITLE OF PERSON SIGNING PRESIDENT

ADDRESS OF PERSON SIGNING c/o ULTRACLEAN TECHNOLOGY RESEARCH INSTITUTE, CO., LTD.
1-4, Hongo 4-chome, Bunkyo-ku, Tokyo 113-0033 JAPAN

Signature

Takahisa Nitta

Takahisa NITTA

Date December 7, 1999

DECLARATION AND POWER OF ATTORNEY

U.S.A.

As a below-named inventor, I hereby declare: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CHEMICAL SUPPLY SYSTEM, the specification of which

☒ is attached hereto.

☐ was filed on _____, as application Serial No. _____, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and acknowledge a duty to disclose information which is material to the examination of this application under 37 CFR 1.56(a). I hereby claim priority benefits under 35 U.S.C. §119 based on any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate on the present invention, filed before the application(s) in which priority is claimed.

| FOREIGN APPLICATION(S), IF ANY, REFERRED TO ABOVE | | | |
|---|-----------------|-------------------|---|
| COUNTRY | APPLICATION NO. | DATE | PRIORITY CLAIMED |
| JAPAN | 10-319035 | November 10, 1998 | YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |
| JAPAN | 11-7063 | January 13, 1999 | YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |
| JAPAN | 11-316244 | November 8, 1999 | YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |

I hereby claim benefit under 35 U.S.C. §120 of any U.S. application(s) listed below. If the subject matter of any claim(s) of this application is not disclosed in the prior U.S. application(s) as required by paragraph one of 35 U.S.C. §112, I acknowledge a duty to disclose material information as defined in 37 CFR 1.56(a) regarding occurrences between the filing date of the prior application(s) and the national or PCT international filing date of this application.

| SERIAL NUMBER | FILING DATE | STATUS |
|---------------|-------------|--------|
| | | |
| | | |

I hereby appoint Elliott I. Pollock, RN (Registration No.) 16,906; George Vande Sande, RN 17,276; Robert R. Priddy, RN 20,169; Burton A. Amernick, RN 24,852; Stanley B. Green, RN 24,351; Richard Wiener, RN 18,741; Townsend M. Belser, Jr., RN 22,956; Morris Liss, RN 24,510; Martin Abramson, RN 25,787; George R. Pettit, RN 27,369; Louis Woo, RN 31,730; Elzbieta Chlopecka, RN 32,767; and Eric Franklin, RN 37,134, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to Pollock, Vande Sande & Priddy, P.O. Box 19088, Washington, D. C. 20036-3425.

All statements made herein of my own knowledge are true. All statements made on information and belief are believed to be true. These statements were made with the knowledge that willful false statements and the like so made are punishable by fine, imprisonment, or both, under 18 U.S.C. 1001 and may jeopardize the validity of the application or any patent issuing thereon.

Note: Please sign one full given name and your surname, using initials where appropriate for other names. It is important that the name be consistent throughout the application papers. Signing of an application more than five weeks prior to filing or an undated application is not acceptable to the Patent and Trademark Office except for receiving an initial filing date.

- Full name of inventor Takahisa NITTA Date: November 8, 1999

Inventor's signature Takahisa Nitta

Residence Tokyo

Citizenship Japan

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- Full name of inventor Nobuhiro MIKI Date: November 8, 1999

Inventor's signature Nobuhiro Miki

Residence Tokyo

Citizenship Japan

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☐ See additional page for additional inventors, if checked.

3. Full name of inventor Yoshiaki YAMAGUCHI Date: November 8, 1999
Inventor's signature Yoshiaki Yamaguchi
Residence Tokyo
Citizenship Japan
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4. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
5. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
6. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
7. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
8. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
9. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
10. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
11. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
Post Office Address _____
12. Full name of inventor _____ Date: _____
Inventor's signature _____
Residence _____
Citizenship _____
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